

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
EN998082Total Pages in this Submission
3**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

DYNAMICALLY SWITCHING QUANT MATRIX TABLES WITHIN AN MPEG-2 ENCODER

and invented by:

Boice et al.If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

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Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 34 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications *(if applicable)*
 - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 - d. ☐ Reference to Microfiche Appendix *(if applicable)*
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings *(if drawings filed)*
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets Eleven (11)
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Additional Enclosures *(please identify below):*

Fee Calculation and Transmittal

CLAIMS AS FILED

| For | #Filed | #Allowed | #Extra | | Rate | Fee |
|--|--------|----------|--------|---|---------|----------|
| Total Claims | 29 | - 20 = | 9 | x | \$18.00 | \$162.00 |
| Indep. Claims | 3 | - 3 = | 0 | x | \$78.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | | \$0.00 |
| BASIC FEE | | | | | | \$760.00 |
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APPLICATION
FOR
UNITED STATES LETTERS PATENT
Attorney Docket No. EN998082

INTERNATIONAL BUSINESS MACHINES CORPORATION

**DYNAMICALLY SWITCHING QUANT MATRIX
TABLES WITHIN AN MPEG-2 ENCODER**

Technical Field

5 This invention relates in general to an apparatus and
method for encoding digital visual images including spatial
(intra-picture) and temporal (inter-picture) compression,
that is reducing both redundancy within a picture and
redundancy between pictures. Redundancy within pictures is
reduced, or even eliminated, by the use of the discrete
10 cosine transform, quantization, and variable length
encoding. Redundancy between pictures is reduced, or even
eliminated, through the use of motion vectors. This
invention is more particularly directed to an enhanced
encoder adapted to allow a user to switch and update
15 quantization matrix tables in real time while encoding a
stream of video data.

Background of the Invention

20 Within the past decade, the advent of world-wide
electronic communications systems has enhanced the way in
which people can send and receive information. In
particular, the capabilities of real-time video and audio
systems have greatly improved in recent years. In order to
provide services such as video-on-demand and video
conferencing to subscribers, an enormous amount of network
25 bandwidth is required. In fact, network bandwidth is often
the main inhibitor in the effectiveness of such systems.

In order to overcome the constraints imposed by
networks, compression systems have emerged. These systems
reduce the amount of video and audio data which must be

transmitted by removing redundancy in the picture sequence. At the receiving end, the picture sequence is uncompressed and may be displayed in real-time.

One example of a video compression standard is the
5 Moving Picture Experts Group ("MPEG") standard. Within the
MPEG standard, video compression is defined both within a
given picture and between pictures. Video compression
within a picture is accomplished by conversion of the
digital image from the time domain to the frequency domain
10 by a discrete cosine transform, quantization, variable
length coding, and Huffman coding. Video compression
between pictures is accomplished via a process referred to
as motion estimation, in which a motion vector plus
difference data is used to describe the translation of a set
15 of picture elements (pels) from one picture to another.

The ISO MPEG2 standard specifies only the syntax of
bitstream and semantics of the decoding process. The choice
of coding parameters and tradeoffs in performance versus
complexity are left to the encoder developers.

20 Users of MPEG encoders often desire the ability to
change quantization matrix tables between pictures in a
sequence of video data. For example, based upon a change in
picture complexity from one frame to the next, a user may
wish to modify the amount of quantization by changing the
25 quantization matrix tables. To change matrix tables,
sufficient time is needed to load the values of the new
tables into the quantization unit of the encoder. As a
result of the time required to load these values, the
switching of matrix tables today cannot be accomplished in
30 real time mode. The user is limited to pausing the

encoding, loading the tables and then continuing with the encode process.

In view of the above, and in order to establish commercial advantage, a novel design is desired wherein an encoder is adapted to allow dynamic (i.e., real time) switching of quantization matrix tables without interfering with the encode process.

Disclosure of the Invention

Briefly described, this invention comprises in one aspect an encoder for encoding a sequence of video data. The encoder includes storage for holding multiple sets of quantization matrix tables. A quantizer quantizes the sequence of video data using one set of the multiple sets of quantization matrix tables. Means are also provided for dynamically switching the quantizer from using the one set of quantization matrix tables to using another set of quantization matrix tables of the multiple sets of quantization matrix tables.

In another aspect, the invention comprises a method for encoding a sequence of video data. The method includes: providing storage for holding multiple sets of quantization matrix tables; quantizing the sequence of video data using one set of quantization matrix tables of the multiple sets of quantization matrix tables; and dynamically switching the quantizer from using the one set of quantization matrix tables to using another set of quantization matrix tables of the multiple sets of tables.

In a further aspect, an article of manufacture is provided which includes a computer program product

comprising computer usable medium having computer readable
program code means therein for use in encoding a sequence of
video data. The computer readable program code means in the
computer program product includes: computer readable program
5 code means for causing a computer to effect storing multiple
sets of quantization matrix tables; computer readable
program code means for causing a computer to effect
quantizing the sequence of video data using one set of
quantization matrix tables of the multiple sets of
10 quantization matrix tables; and computer readable program
code means for causing a computer to effect dynamically
switching the quantizing from using the one set of
quantization matrix tables to using another set of
quantization matrix tables of the multiple sets of
15 quantization matrix tables.

To restate, provided herein is an encoder and encode
process adapted to allow a user to switch quantization
matrix tables in real time while encoding a stream of video
data. By providing the encoder with two sets of
20 quantization matrix tables, for example, held in the
quantization unit and the compressed store interface,
significant new flexibility is attained. The flexibility to
swap tables on the fly, for example, between default and
custom matrix tables is provided, as well as the flexibility
25 to modify selected tables within a given set of tables.
Further, a user is provided with the flexibility to optimize
picture quality based on the quantization matrix tables
desired. While one set of matrix tables is being employed
by the quantization unit, the encoder can be updating or
30 modifying another set of quantization matrix tables, which
after a few pictures can be switched to for use by the
quantizer in quantizing the sequence of video data. This
dynamic modifying of sets of tables and switching between

sets of tables provides significant commercial advantage to an encoder implemented in accordance with the principles of this invention.

Brief Description of the Drawings

5 The above-described objects, advantages and features of the present invention, as well as others, will be more readily understood from the following detailed description of certain preferred embodiments of the invention, when considered in conjunction with the accompanying drawings in
10 which:

Fig. 1 shows a flow diagram of a generalized MPEG2 compliant encoder 11, including a discrete cosine transformer 21, a quantizer 23, a variable length coder 25, compressed store interface 27, an inverse quantizer 29, an
15 inverse discrete cosine transformer 31, motion compensation 41, frame memory 42, and motion estimation 43. The data paths include the picture input 111, difference data 112, motion vectors 113, the picture output 121, the feedback picture for motion estimation and compensation 131, and the
20 reconstructed picture 101. This figure has the assumptions that the i^{th} picture exists in Frame Memory or Frame Store 42, and that the $i+1^{\text{th}}$ picture is being encoded with motion estimation.

Fig. 2 illustrates the I, P, and B pictures, examples
25 of their display and transmission orders, and forward, and backward motion prediction.

Fig. 3 illustrates the search from the motion estimation block in the current frame or picture to the best matching block in a subsequent or previous frame or picture.

Elements 211 and 211' represent the same location in both pictures.

Fig. 4 illustrates the movement of blocks in accordance with the motion vectors from their position in a previous picture to a new picture, and the previous picture's blocks adjusted after using motion vectors.

Fig. 5 depicts one embodiment of a quantization unit to be modified in accordance with the principles of the present invention.

Fig. 6 depicts one embodiment of a quantization unit or quantizer 250 employing multiple sets of quantizer matrix tables in accordance with the present invention.

Fig. 7 shows various examples of combinations of sets of quant matrix tables which can be employed in accordance with the present invention.

Fig. 8a shows a flowchart of conventional encoder processing when new quant matrix tables are to be loaded.

Fig. 8b depicts a flowchart of encoder processing allowing dynamic switching between sets of matrix tables in accordance with the principles of the present invention.

Fig. 9 depicts one embodiment of a compressed store interface unit 401 which stores or has access to multiple sets of quantizer matrix tables in accordance with the present invention.

Fig. 10 depicts a flowchart of conventional compressed store interface processing.

Fig. 11 depicts a flowchart of compressed store interface processing in accordance with the principles of the present invention.

Best Mode For Carrying Out The Invention

The invention relates to scalable MPEG and HDTV compliant encoders and encoding processes. The encoding functions performed by the encoder include data input, spatial compression, motion estimation, macroblock type generation, data reconstruction, entropy coding, and data output. Spatial compression includes discrete cosine transformation (DCT), quantization, and entropy encoding. Temporal compression includes intensive reconstructive processing, such as inverse discrete cosine transformation, inverse quantization, and motion compensation. Motion estimation and compensation are the temporal compression functions. Spatial and temporal compression are repetitive functions with high computational requirements.

More particularly the invention relates to a processor for performing spatial and temporal compression including discrete cosine transformation, quantization, entropy encoding, motion estimation, motion compensation, and prediction, and even more particularly to a system for accomplishing spatial and temporal compression.

The scalable processor system has an I-frame only video encoder module with a Discrete Cosine Transform processor, a quantization unit, a variable length encoder, a FIFO buffer, and a compressed store interface. The system also includes

a second processor element, that is, an R-processor or Reconstruction processor with a reference memory interface, motion estimation and compensation capability, inverse quantization, and inverse discrete cosine transformation, and motion compensation means; and at least one third processor element for motion estimation. The system can be in the form of a single integrated circuit chip, or a plurality of integrated circuit chips, that is one for each processor, the I-frame video encoder module, the second processor element, and the third processor element. There can be one or more of the third processor units.

The first compression step is the elimination of spatial redundancy, for example, the elimination of spatial redundancy in a still picture of an "I" frame picture. Spatial redundancy is the redundancy within a picture. Because of the block based nature of the motion compensation process, described below, it was desirable for the MPEG-2 Standard to use a block based method of reducing spatial redundancy. The method of choice is the Discrete Cosine Transformation, and Discrete Cosine Transform coding of the picture. Discrete Cosine Transform coding is combined with weighted scalar quantization and run length coding to achieve still further levels of compression.

The Discrete Cosine Transformation is an orthogonal transformation. Orthogonal transformations, because they have a frequency domain interpretation, are filter bank oriented. The Discrete Cosine Transformation is also localized. That is, the encoding process samples on an 8x8 spatial window which is sufficient to compute 64 transform coefficients or sub-bands.

Another advantage of the Discrete Cosine Transformation is that fast encoding and decoding algorithms are available. Additionally, the sub-band decomposition of the Discrete Cosine Transformation is sufficiently well behaved to allow
5 effective use of psychovisual criteria.

After transformation, many of the frequency coefficients are zero, especially the coefficients for high spatial frequencies. These coefficients are organized into a zig-zag pattern, and converted into run-amplitude (run-
10 level) pairs. Each pair indicates the number of zero coefficients and the amplitude of the non-zero coefficient. This is coded in a Variable Length Code.

Motion compensation is used to reduce or even eliminate redundancy between pictures. Motion compensation exploits
15 temporal redundancy by dividing the current picture into blocks, for example, macroblocks, and then searching in previously transmitted pictures for a nearby block with similar content. Only the difference between the current block pels and the predicted block pels extracted from the
20 reference picture is actually compressed for transmission and thereafter transmitted.

The simplest method of motion compensation and prediction is to record the luminance and chrominance, i.e., intensity and color, of every pixel in an "I" picture, then
25 record changes of luminance and chrominance, i.e., intensity and color for every specific pixel in the subsequent picture. However, this is uneconomical in transmission medium bandwidth, memory, processor capacity, and processing time because objects move between pictures, that is, pixel
30 contents move from one location in one picture to a different location in a subsequent picture. A more advanced

idea is to use a previous or subsequent picture to predict where a block of pixels will be in a subsequent or previous picture or pictures, for example, with motion vectors, and to write the result as "predicted pictures" or "P" pictures.

5 More particularly, this involves making a best estimate or prediction of where the pixels or macroblocks of pixels of the $i^{th}+1$ picture will be in the i^{th} picture. It is one step further to use both subsequent and previous pictures to predict where a block of pixels will be in an intermediate

10 or "B" picture.

To be noted is that the picture encoding order and the picture transmission order do not necessarily match the picture display order. See **Fig. 2**. For I-P-B systems the input picture transmission order is different from the

15 encoding order, and the input pictures must be temporarily stored until used for encoding. A buffer stores this input until it is used.

For purposes of illustration, a generalized flowchart of MPEG compliant encoding is shown in **Fig. 1**. In the

20 flowchart the images of the i^{th} picture and the $i+1^{th}$ picture are processed to generate motion vectors. The motion vectors predict where a macroblock of pixels will be in a prior and/or subsequent picture. The use of the motion vectors instead of full images is a key aspect of temporal

25 compression in the MPEG and HDTV standards. As shown in **Fig. 1** the motion vectors, once generated, are used for the translation of the macroblocks of pixels, from the i^{th} picture to the $i+1^{th}$ picture.

As shown in **Fig. 1**, in the encoding process, the images

30 of the i^{th} picture and the $i+1^{th}$ picture are processed in the encoder 11 to generate motion vectors which are the form in

which, for example, the $i+1^{\text{th}}$ and subsequent pictures are encoded and transmitted. An input image 111 of a subsequent picture goes to the Motion Estimation unit 43 of the encoder. Motion vectors 113 are formed as the output of the

5 Motion Estimation unit 43. These vectors are used by the Motion Compensation Unit 41 to retrieve macroblock data from previous and/or future pictures, referred to as "reference" data, for output by this unit. One output of the Motion Compensation Unit 41 is negatively summed with the output

10 from the Motion Estimation unit 43 and goes to the input of the Discrete Cosine Transformer 21. The output of the Discrete Cosine Transformer 21 is quantized in a Quantizer 23. The output of the Quantizer 23 is split into two outputs, 121 and 131; one output 121 goes to a downstream

15 element 25 for further compression and processing, such as to a run length encoder; and then to another element 27, i.e., a compressed store interface, for processing before transmission. The other output 131 goes through reconstruction of the encoded macroblock of pixels for

20 storage in Frame Memory 42. In the encoder shown for purposes of illustration, this second output 131 goes through an inverse quantization 29 and an inverse discrete cosine transform 31 to return a lossy version of the difference macroblock. This data is summed with the output

25 of the Motion Compensation unit 41 and returns a lossy version of the original picture to the Frame Memory 42.

As shown in **Fig. 2**, there are three types of pictures. There are "Intra pictures" or "I" pictures which are encoded and transmitted whole, and do not require motion vectors to

30 be defined. These "I" pictures serve as a source of motion vectors. There are "Predicted pictures" or "P" pictures which are formed by motion vectors from a previous picture and can serve as a source of motion vectors for further

pictures. Finally, there are "Bidirectional pictures" or "B" pictures which are formed by motion vectors from two other pictures, one past and one future, and can not serve as a source of motion vectors. Motion vectors are generated from
5 "I" and "P" pictures, and are used to form "P" and "B" pictures. "P" and "B" pictures are also referred to as "Nonintra" or "Inter" pictures.

One method by which motion estimation is carried out, shown in **Fig. 3**, is by a search from a macroblock 211 of an
10 $i^{th}+1$ picture throughout a region of the previous picture to find the best match macroblock 213 (211' is the same location as 211 but in the previous picture). Translating the macroblocks in this way yields a pattern of macroblocks for the $i+1^{th}$ picture, as shown in **Fig. 4**. In this way the
15 i^{th} picture is changed a small amount, e.g., by motion vectors and difference data, to generate the $i+1^{th}$ picture. What is encoded are the motion vectors and difference data, and not the $i+1^{th}$ picture itself. Motion vectors translate position of an image from picture to picture, while
20 difference data carries changes in chrominance, luminance, and saturation, that is, changes in color and brightener.

Returning to **Fig. 3**, we look for a good match by starting from the same location in the i^{th} picture 211' as in the $i+1^{th}$ picture. A search window is created in the i^{th}
25 picture. We search for a best match within this search window. Once found, the best match motion vectors for the macroblock are coded. The coding of the best match macroblock includes a motion vector, that is, how many pixels in the y direction and how many pixels in the x
30 direction is the best match displaced in the next picture. Also encoded is difference data, also referred to as the "prediction error", which is the difference in chrominance

and luminance between the current macroblock and the best match reference macroblock.

The operational functions of an MPEG2 encoder are implemented in three basic devices: an I or Intra device, an
5 R or Reconstruction or Reference device, and an S or Search device. The functions are partitioned according to application needs, silicon size, tools and design complexity, and performance. The I, R and S devices are described in detail in a co-pending, commonly assigned
10 United States patent application by Murdock et al., entitled "Macroblock Bit Regulation Schemes For Video Encoder," Serial No. 08/859,544, the entirety of which is hereby incorporated herein by reference.

As noted briefly above, the present invention is
15 directed to providing MPEG encoders with the capability to dynamically change quantization matrix tables at a picture boundary while encoding a stream of video data. Conventionally, quantizer 23 (**Fig. 1**) and compressed store interface 27 (**Fig. 1**) reference one set of matrix tables
20 during the encoding of a stream of video data. The matrix tables are a set of two or four tables, each table containing 64 values. In 4:2:0 mode, two tables are used, one for intra blocks and the other for non-intra blocks. In 4:2:2 mode, four tables are used, one for intra luminance
25 blocks, one for non-intra luminance blocks, one for intra chrominance blocks, and the last for non-intra chrominance blocks. To change a set of matrix tables between picture boundaries, enough time is needed to load 256 values into the encoder. As a result of this time requirement,
30 switching of matrix tables is conventionally not done in real-time mode. A user is limited to pausing the encoder, loading the tables and then continuing the encoding.

Fig. 5 presents one embodiment of a conventional quantizer 220 of an MPEG encoder. Quantizer 220 is responsible for quantizing the DCT coefficients from the DCT processor and then sending the coefficients to variable length coder (VLC) 25 and inverse quantization (IQ) 29 (Fig. 1). Quantization involves scaling the DCT coefficients in a two-step process per MPEG Standard. The DCT coefficients are initially input to an "individual quantization of DCT coefficients" logic block 230, which quantizes each coefficient based on its relative location within the subject block of the macroblock. This quantization is made with reference to a weight value stored in quantizer matrix tables 232 in the quantizer unit 220. The quantizer processes one block of a macroblock at a time. There are six blocks in a 4:2:0 macroblock and eight blocks in a 4:2:2 macroblock. Thus, the first step is a quantization of each coefficient based on its relative location in the 8x8 block of the 16x16 macroblock.

The second quantization step is scaling all coefficients in the macroblock. This "quantization of scaled DCT coefficients" 234 comprises an overall quantization which is set by the processor in consideration, for example, of the bit rate. This scaling is made with reference to a selected stepsize or MQQUANT 236. The stepsize can be adjusted depending upon whether the bit rate is above or below a target bit rate. Output again is to the variable length encoder 25 (Fig. 1).

Pursuant to the present invention, quantization unit 220 is modified to include memory space for multiple sets of quantizer matrix tables. Fig. 6 depicts one example of a quantizer 250 in accordance with this invention. Again,

quantizer 250 is responsible for quantization (i.e., scaling down) of DCT coefficients. DCT coefficient input from the discrete cosine transform unit is received in individual quantization block 262 where each coefficient is scaled down
5 based on a scaling factor dependent on the coefficient's coordinates in the block.

Memory is provided within quantizer 250 for holding at least a first set of quantizer matrix tables 263 and a second set of quantizer matrix tables 264. Set 263
10 comprises one complete set of quantizer matrix tables (four tables per set in 4:2:2 format) used by the individual quantization logic 262, while set 264 also comprises one complete set of quantizer matrix tables for use by the individual quantization logic 262. By way of example,
15 selection of set 263 or set 264 can be controlled through use of a 1 bit table set register 261 within the quantizer. After individual quantization of DCT coefficients, all coefficients are scaled by logic 266 using the same scale factor or stepsize 268. Output from quantizer 250 is again
20 to the variable length coder and the inverse quantizer.

As noted, in accordance with the principles of this invention, the quantization unit has storage capacity to hold at least two complete sets of quant matrix tables. (Note that if desired, greater than two sets of quantizer
25 matrix tables could be provided within a quantizer in accordance with the present invention.) In 4:2:2 mode, each set consists of an intra luminance matrix table, a non-intra luminance matrix table, an intra chrominance matrix table, and a non-intra chrominance matrix table.

30 **Fig. 7** depicts four examples of various matrix table sets which could be employed in a quantizer in accordance

with this invention. Matrix table set 1 270 comprises a first complete quantizer matrix set of four tables, and matrix table set 2 280 comprises a second complete quantizer matrix set of four tables. In these examples, default intra
5 luminance table 271 is a default table from the MPEG standard for intra luminance. Default non-intra luminance table 272 is a default table from the MPEG standard for non-intra luminance. Default intra chrominance table 273 is a default table from the MPEG standard for intra chrominance,
10 while default non-intra chrominance table 274 is a default table from the MPEG standard for intra chrominance. In matrix table set 2 280 of case 1, multiple user defined custom tables are provided. Specifically, custom intra luminance table 275 comprises a user defined custom table
15 for intra luminance, custom non-intra luminance table 276 is a user defined custom table for non-intra luminance, custom intra chrominance table 277 is a user defined custom table for intra chrominance, and custom non-intra chrominance table 278 is a user defined custom table for non-intra
20 chrominance. As shown in the various cases of **Fig. 7**, any combination of default and custom quant matrix tables can be employed pursuant to this invention within the at least two different matrix table sets 270 & 280.

In one embodiment, during encoder chip power-on reset
25 and initialization, the default quant matrix tables per MPEG standard are loaded into both sets of tables within the quantization unit, i.e., sets 263 & 264 of **Fig. 6**. Also during initialization, the user has the ability to load over any default quant matrix tables with custom quant matrix
30 tables, referred to herein as user specified custom quant matrix tables. Either an entire set of default tables (i.e., four tables) can be overwritten or only one or more of the default tables can be customized. In any case, the

complete set of tables is referred to as custom requiring them to be outputted in the encoded bitstream before use. The user may customize either or both sets of matrix tables in the quantization unit. Before the picture encoding starts, microcode will set register 261 (**Fig. 6**) in the quantization unit to indicate which set of matrix tables to use based on user input.

While encoding a picture stream, the user has the ability pursuant to this invention to dynamically switch between the two sets of quant matrix tables at picture gaps. For example, the picture stream may be coming up to a scene change where one set of matrix tables may provide optimal compression of detail over the other set. The user can therefore instruct the microcode to set the register in the quantization unit to switch to the other set of tables during the picture gap, i.e., when no picture is currently being processed through the quantization unit. Because the other set is already loaded within the encoder, i.e., within the quantizer, the switch can be accomplished in real time between pictures without stopping the encoder. In addition, with two sets of tables, the user can load a new set of tables into the unused set while the encoder is processing the stream using the other set. Again, there is no need for stopping the encoder, and this also can be accomplished in real time. In one embodiment, the encoder may incorporate a hardware translation of the matrix coefficients for the quantizer unit. Such a hardware translation would significantly reduce time required to load a matrix table. For example, the user can load one full quantization matrix table on the encoder command stack and have it loaded into the quantizer unit during a single picture gap. Using this technique, all four matrix tables in a set can be loaded within four picture gaps.

Fig. 8a presents one embodiment of processing flow within a standard encoder when quant matrix tables are to be switched, and **Fig. 8b** depicts process flow in accordance with the principles of the present invention. In the conventional encoder process of **Fig. 8a**, the encoder is initialized 301 at power on reset by loading microcode, encoding parameters and quantizer matrix tables. After initialization, picture encoding commences 302 and proceeds to encode each picture of a stream of video data 303. At a picture gap, i.e., at a point between pictures in the stream of video data, processing determines whether the user wishes to switch matrix tables 304. Again, between pictures there is no picture data or coefficients flowing through the quantizer. If the user wishes to switch the quant matrix tables, then encoding stops or pauses 305 to allow sufficient time to load the new matrix tables 306. The new matrix tables are loaded either from a user or from an external storage which has previously been setup by the user. Thereafter, encoding can be recommenced 302 and the next picture encoded 303. At the next picture gap 304, if the user does not wish to switch matrix tables, processing inquires whether encoding of the stream of video data has been completed 308. If no, then the next picture is encoded 303. Otherwise, the process is complete since there are no more pictures to encode 309.

In contrast, the encoding flow of **Fig. 8b** presents a simplified process in that once two or more sets of quantizer matrix tables are held within the quantizer, the encoder can switch between matrix tables in real time 310 if a user wishes to switch the tables at a picture boundary. Again, there is no need to stop the encode process since switching is accomplished in real time simply by changing a bit within, for example, a register within the quantizer.

Further, in accordance with the principles of the present invention the encode process could switch back to the first set of quant matrix tables, or could load a further set of quant matrix tables into the storage space for the first set while using the second set of matrix tables.

As noted above, the compressed store interface unit 27 (**Fig. 1**) in accordance with the present invention also has access to both sets of quantization matrix tables. **Fig. 9** depicts one embodiment of a compressed store interface unit 401 in accordance with this invention. Unit 401 outputs the compressed bitstream from a merge headers and coefficient data logic block 402. Logic 402 is a hardware area that combines start codes and macroblock data to form the output bitstream. The logic receives as input coefficient data or macroblock data from the variable length coder, as well as header information from the processor, which indicates which MPEG start codes are to be included in the compressed bitstream. Logic 402 also has access to the two sets of quantizer matrix tables 403 & 404, which in one embodiment, are held in storage within the compressed store interface 401. Quantizer matrix tables set 403 comprises one complete set of quantizer matrix tables used in the individual quantization step. Again, in one example there are four tables per set. Quantizer matrix tables set 404 also contains one complete set of quantizer matrix tables.

When the matrix table set selected is a custom set, the compressed store interface will place the matrix tables into the output bitstream. Since the table sets are preferably held at interface 401, there is no time delay needed to pass the matrix table values to the compressed store interface for including in the output bitstream. The compressed store interface can select which tables from the set are to be

included if all four tables are not used in the encoding.
Again, the swapping of tables and including of custom tables
in the output bitstream is all accomplished dynamically,
i.e., in a real time mode without stopping the encode
5 process.

One embodiment of the compressed store interface
process is described in greater detail in United States
patent no. 5,526,054 by Greenfield et al., entitled
"Apparatus For Header Generation," the entirety of which is
10 hereby incorporated herein by reference. In this patent,
there is described a shared data buffer that can contain
either a quantizer matrix table or coefficient data. There
is also a section of the header buffer that contains the
templates for the quant matrix extension start code. The
15 conventional templates are as follows:

| | <u>Command</u> | <u>Data</u> | <u>Output</u> |
|----|----------------|--------------------------------------|---------------------|
| | 010 | Extension Start Code | 000001B5 hex |
| | 001 | Quant Matrix Extension Start Code ID | 3 hex |
| | 011 | Load Intra Matrix | '1' + 64 byte table |
| 20 | 011 | Load non-Intra Matrix | '1' + 64 byte table |
| | 011 | Load Chrominance Intra Matrix | '1' + 64 byte table |
| | 011 | Load Chrominance non-Intra Matrix | '1' + 64 byte table |

Microcode controls which tables are sent by writing a
'1' to the header buffer address that contains the template
25 for a table that is to be sent. The command code "011"
tells the hardware to send the "next" 64 bytes from the
shared data buffer. Therefore, matrix tables must
conventionally be loaded into the buffer in the order they
are to be sent. Once the processor has selected which
30 tables are to be sent it issues a "ship headers" command to
the compressed store interface. The compressed store
interface hardware then byte-aligns the output bitstream and

outputs the quant matrix extension start code ("000001B53" hex followed by up to 4 sets of - a valid bit and 64 bytes of table data).

For the present invention, an additional buffer is
5 added in the compressed store interface unit that can store up to eight quant matrix tables (i.e., 2 sets of four tables). The tables are loaded using a three bit "table ID" which is used as an index into the buffer. Microcode uses the same "table ID" when it selects which tables are to be
10 included in the bitstream. This advantageously allows the encoder to manage two full sets of quant matrix tables without having to stop and reload the tables.

Fig. 10 depicts one embodiment of standard compressed store interface processing, while **Fig. 11** depicts compressed
15 store processing employing two sets of matrix tables in accordance with the principles of the present invention. Beginning with **Fig. 10**, the encoder is initialized, for example, at power on reset by loading microcode and encoding parameters, as well as loading default quantizer matrix
20 tables 501. The encoder outputs a sequence header from the compressed store interface 502, which is followed by the picture start code 503. Processing then determines whether custom matrix tables are to be employed 504, and if no, a picture is encoded 505. The encoder next determines whether
25 the complete stream of video data has been encoded 506, and if yes, outputs a sequence end header 507.

If encoding the stream of video data has not been completed, then processing inquires whether it is time to repeat the sequence header 514. The sequence header again
30 tells a decoder whether to use the default quant matrix tables. If custom matrix tables are desired, then the quant

matrix extension must be output again with repeating of the sequence header. If the sequence header is not to be repeated at this picture boundary, then processing determines whether the custom matrix tables are to be changed 508. Again, changing of the custom matrix tables is user specified. If no, then the next picture start code 503 is output and the picture is encoded 505.

If the custom matrix tables are to be changed, then processing outputs a sequence end header from the compressed store interface 509 and stops the encoder 510. In this conventional flow the user has thus instructed the encoder to stop, which is necessary in order to load the new matrix tables. The user must also block uncompressed video input to the encoder. The custom matrix tables are loaded 511 and the encoder is restarted to allow passing of uncompressed video input to the encoder 512, after which a sequence header code is output 502 from the compressed store interface.

Finally, if the sequence header is to be repeated 514, and custom matrix tables are also being switched, then processing outputs the end sequence code 509 and proceeds as described above. Otherwise, the next sequence header code 502 is output, followed by picture start code 503.

In the compressed store processing flow of **Fig. 11**, the encoder is initialized 501, followed by output of a sequence header from the compressed store interface 502 and a picture header 503. Processing determines whether custom matrix tables are to be employed 504. If yes, then the quantizer matrix extension start code 513 is output from the compressed store interface. Again, this code includes any custom matrix tables. The picture is then encoded 505,

after which processing determines whether the complete stream of video data has been encoded 506. If yes, a sequence end header is output from the compressed store interface. Otherwise, processing determines whether it is
5 time to repeat the sequence header 514, and if yes, the sequence header code is output 502, followed by the next picture start code 503. If the sequence header is not to be repeated, processing determines whether the custom matrix tables are to be changed 508. If no, then the compressed
10 store interface simply outputs the next start picture code 503 and the encoder encodes the picture 505. If the custom matrix tables are to be changed, then from inquiry 508 the compressed store interface outputs a picture header 503, followed by the quant matrix extension start code 513, again
15 including any new custom matrix tables.

The following scenario will demonstrate the flexibility of an encoder implemented in accordance with the principles of the present invention. The encoder is initialized and default tables are loaded into set 1 and set 2 of the quant
20 matrix tables. The user loads a custom matrix table into set 2 and begins encoding. At a picture boundary, the user issues the command to switch from set 1, which is a default set, to set 2, which as noted, comprises custom tables. After a few pictures, the user switches back to the default
25 matrix tables and begins loading a new set of custom tables into set 2 at picture boundaries of the stream of video data. After a few pictures, the user switches back to set 2 and starts loading another custom matrix set into set 1. During this whole scenario, the encoder is processing data
30 in a real time mode, i.e., without stopping or pausing.

With the encoder's use of two sets of quantization matrix tables, significant new flexibility is provided. The

flexibility to swap tables on the fly between default and custom matrix tables is provided, as well as the flexibility to modify only selected tables within a given set. Further, the user is provided with flexibility to optimize picture
5 quality based on the quantization matrix tables desired.

The present invention can be included, for example, in an article of manufacture (e.g., one or more computer program products) having, for instance, computer usable media. This media has embodied therein, for instance,
10 computer readable program code means for providing and facilitating the capabilities of the present invention. The articles of manufacture can be included as part of the computer system or sold separately.

Additionally, at least one program storage device
15 readable by machine, tangibly embodying at least one program of instructions executable by the machine, to perform the capabilities of the present invention, can be provided.

The flow diagrams depicted herein are provided by way of example. There may be variations to these diagrams or
20 the steps (or operations) described herein without departing from the spirit of the invention. For instance, in certain cases, the steps may be performed in differing order, or steps may be added, deleted or modified. All of these variations are considered to comprise part of the present
25 invention as recited in the appended claims.

While the invention has been described in detail herein in accordance with certain preferred embodiments thereof, many modifications and changes therein may be effected by those skilled in the art. Accordingly, it is intended by
30 the appended claims to cover all such modifications and

changes as fall within the true spirit and scope of the invention.

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Claims

1 1. An encoder for encoding a sequence of video data,
2 said encoder comprising:

3 storage for holding multiple sets of quantization
4 matrix tables;

5 a quantizer for quantizing said sequence of video
6 data using one set of quantization matrix tables of
7 said multiple sets of quantization matrix tables; and

8 means for dynamically switching said quantizer
9 from using said one set of quantization matrix tables
10 to using another set of quantization matrix tables of
11 said multiple sets of quantization matrix tables.

1 2. The encoder of claim 1, wherein said means for
2 dynamically switching comprises means for switching said
3 quantizer from using said one set of quantizer matrix tables
4 to using said another set of quantizer matrix tables at a
5 picture boundary of said sequence of video data.

1 3. The encoder of claim 2, wherein said means for
2 switching said quantizer at said picture boundary comprises
3 means for switching from said one set of quantizer matrix
4 tables to said another set of quantizer matrix tables
5 without delaying encoding of said sequence of video data by
6 said encoder.

1 4. The encoder of claim 3, wherein said means for
2 dynamically switching further comprises a table set register
3 within said quantizer adapted to control said switching of
4 said quantizer from said one set of quantization matrix
5 tables to said another set of quantization matrix tables.

1 5. The encoder of claim 1, wherein at least one table
2 of said one set of quantization matrix tables comprises a
3 default quantization matrix table pursuant to MPEG standard.

1 6. The encoder of claim 1, wherein multiple tables of
2 said one set of quantization matrix tables comprise default
3 quantization matrix tables pursuant to MPEG standard.

1 7. The encoder of claim 1, wherein at least one table
2 of said another set of quantization matrix tables comprises
3 a user's custom quantization matrix table.

1 8. The encoder of claim 1, wherein multiple tables of
2 said another set of quantization matrix tables comprises a
3 user's custom quantization matrix tables.

1 9. The encoder of claim 1, wherein each set of
2 quantization matrix tables of said multiple sets of
3 quantization matrix tables comprises at least one
4 quantization matrix table, each quantization matrix table of
5 said at least one quantization matrix table comprising one
6 of a default quantization matrix table pursuant to MPEG
7 standard or a user's custom quantization matrix table.

1 10. The encoder of claim 1, wherein each set of
2 quantization matrix tables comprises an intra luminance
3 table and a non-intra luminance table.

1 11. The encoder of claim 1, wherein each set of said
2 multiple sets of quantization matrix tables comprises an
3 intra luminance table, a non-intra luminance table, an intra
4 chrominance table, and a non-intra chrominance table.

1 12. The encoder of claim 1, further comprising means
2 for dynamically changing quantization matrix tables of a
3 presently unused set of quantization matrix tables of said
4 multiple sets of quantization matrix tables while quantizing
5 said sequence of video data using said one set of
6 quantization matrix tables or said another set of
7 quantization matrix tables.

1 13. The encoder of claim 1, further comprising a
2 compressed store interface for outputting a compressed
3 bitstream produced by said encoder from said sequence of
4 video data, said compressed store interface including means
5 for dynamically outputting a quantization matrix extension
6 start code in said compressed bitstream upon switching of
7 said quantizer from using said one set of quantization
8 matrix tables to using said another set of quantization
9 matrix tables.

1 14. The encoder of claim 13, wherein said compressed
2 store interface further comprises storage for also holding
3 said multiple sets of quantization matrix tables.

1 15. The encoder of claim 13, wherein said means for
2 dynamically outputting said quantization matrix extension
3 start code comprises means for outputting said another set
4 of quantization matrix tables in said compressed bitstream
5 upon said quantizer switching from said one set of
6 quantization matrix tables to said another set of
7 quantization matrix tables.

1 16. The encoder of claim 13, wherein said means for
2 dynamically outputting comprises means for outputting said
3 quantization matrix extension start code in said compressed
4 bitstream without pausing said encoding of said sequence of
5 video data by said encoder.

1 17. The encoder of claim 13, further comprising means
2 for changing quantization matrix tables in a presently
3 unused set of said multiple sets of quantization matrix
4 tables while said quantizer is quantizing said sequence of
5 video data using said one set of quantization matrix tables
6 or said another set of quantization matrix tables.

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1 18. A method for encoding a sequence of video data,
2 said method comprising:

3 providing storage for holding multiple sets of
4 quantization matrix tables;

5 quantizing the sequence of video data using one
6 set of quantization matrix tables of said multiple sets
7 of quantization matrix tables; and

8 dynamically switching said quantizing from using
9 said one set of quantization matrix tables to using
10 another set of quantization matrix tables of said
11 multiple sets of quantization matrix tables.

1 19. The method of claim 18, wherein said dynamically
2 switching comprises switching said quantizing from using
3 said one set of quantizer matrix tables to using said
4 another set of quantizer matrix tables at a picture boundary
5 of said sequence of video data.

1 20. The method of claim 19, wherein said switching of
2 said quantizing at said picture boundary comprises switching
3 from said one set of quantizer matrix tables to said another
4 set of quantizer matrix tables without delaying encoding of
5 said sequence of video data.

1 21. The method of claim 18, wherein at least one table
2 of said one set of quantization matrix tables comprises a
3 default quantization matrix table pursuant to MPEG standard
4 or a user's custom quantization matrix table.

1 22. The method of claim 18, wherein at least one table
2 of said another set of quantization matrix tables comprises
3 a default quantization matrix table pursuant to MPEG
4 standard or a user's custom quantization matrix table.

1 23. The method of claim 18, wherein each set of said
2 multiple sets of quantization matrix tables comprises an
3 intra luminance table and a non-intra luminance table.

1 24. The method of claim 18, wherein each set of said
2 multiple sets of quantization matrix tables comprises an
3 intra luminance table, a non-intra luminance table, an intra
4 chrominance table, and a non-intra chrominance table.

1 25. The method of claim 18, further comprising
2 dynamically changing quantization matrix tables of a
3 presently unused set of quantization matrix tables of said
4 multiple sets of quantization matrix tables while quantizing
5 said sequence of video data using said one set of
6 quantization matrix tables or said another set of
7 quantization matrix tables.

1 26. The method of claim 18, further comprising
2 producing a compressed bitstream employing a compressed
3 store interface, said producing comprising dynamically
4 outputting a quantization matrix extension start code in
5 said compressed bitstream upon said switching from said one
6 set of quantization matrix tables to said another set of
7 quantization matrix tables.

1 27. The method of claim 26, wherein said dynamically
2 outputting comprises outputting said quantization matrix
3 extension start code in said compressed bitstream without
4 pausing said encoding of said sequence of video data.

1 28. The method of claim 26, further comprising
2 changing quantization matrix tables in a presently unused
3 set of said multiple sets of quantization matrix tables
4 while quantizing said sequence of video data using said one
5 set of quantization matrix tables or said another set of
6 quantization matrix tables.

1 29. An article of manufacture comprising:

2 a computer program product comprising computer
3 usable medium having computer readable program code
4 means therein for use in encoding a sequence of video
5 data, said computer readable program code means in said
6 computer program product comprising:

7 computer readable program code means for
8 causing a computer to effect storing multiple sets
9 of quantization matrix tables;

10 computer readable program code means for
11 causing a computer to effect quantizing the
12 sequence of video data using one set of
13 quantization matrix tables of said multiple sets
14 of quantization matrix tables; and

15 computer readable program code means for
16 causing a computer to effect dynamically switching
17 said quantizing from using said one set of
18 quantization matrix tables to using another set of
19 quantization matrix tables of said multiple sets
20 of quantization matrix tables.

* * * * *

DYNAMICALLY SWITCHING QUANT MATRIX
TABLES WITHIN AN MPEG-2 ENCODER

Abstract of the Disclosure

5 A digital video encoder is presented adapted for
dynamically switching between sets of quantizer matrix
tables without pausing encoding of a stream of video data.
Two or more sets of quantizer matrix tables are held at the
encoder's quantization unit and compressed store interface
for dynamically switching between sets of quant matrix
10 tables at a picture boundary of the sequence of video data,
i.e., without stopping encoding of the sequence of video
data. Further, while one set of matrix tables is being
employed to quantize the stream of video data, the encoder
can be updating or modifying another set of quantization
15 matrix tables, again without stopping encoding of the
sequence of video data.

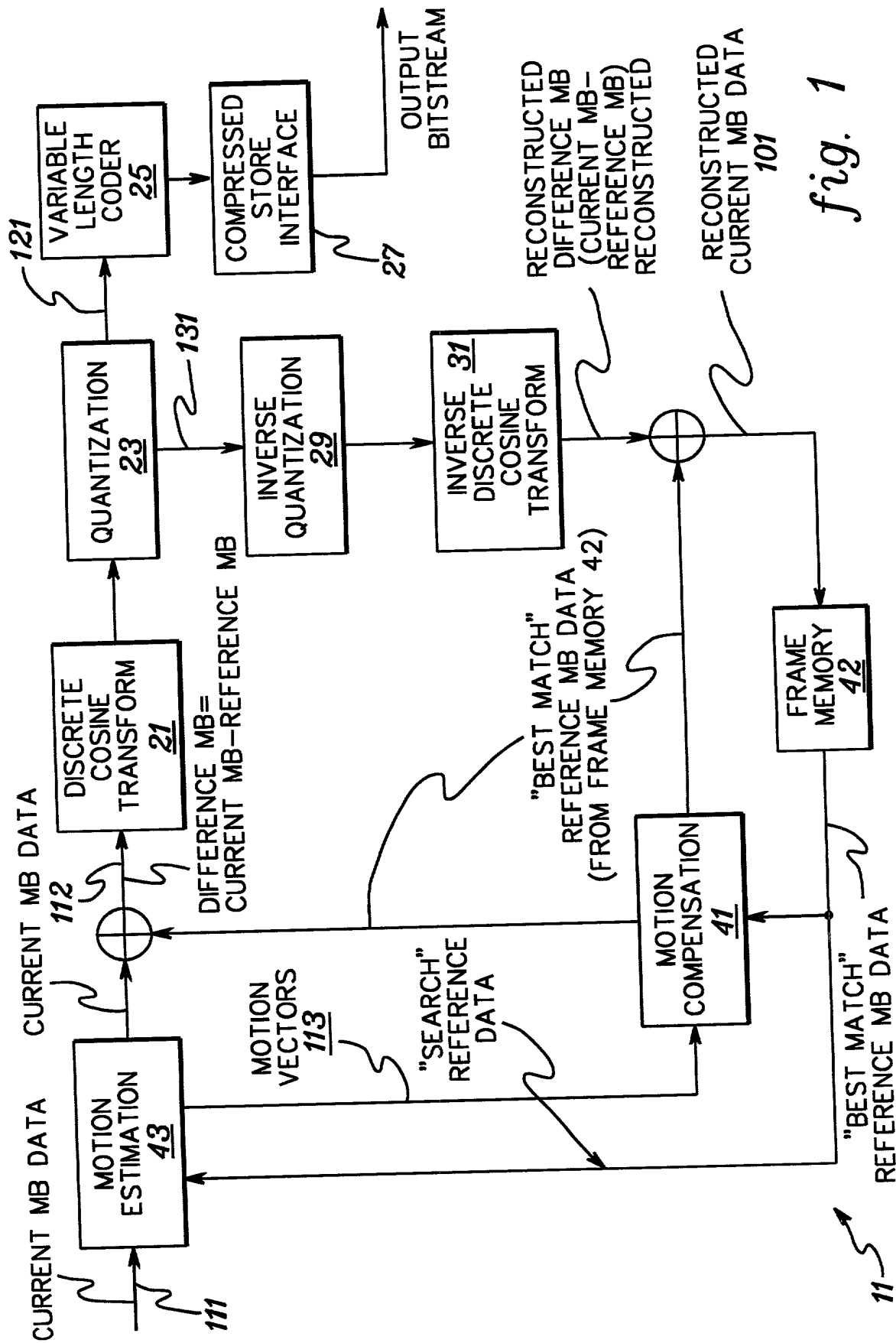


fig. 1

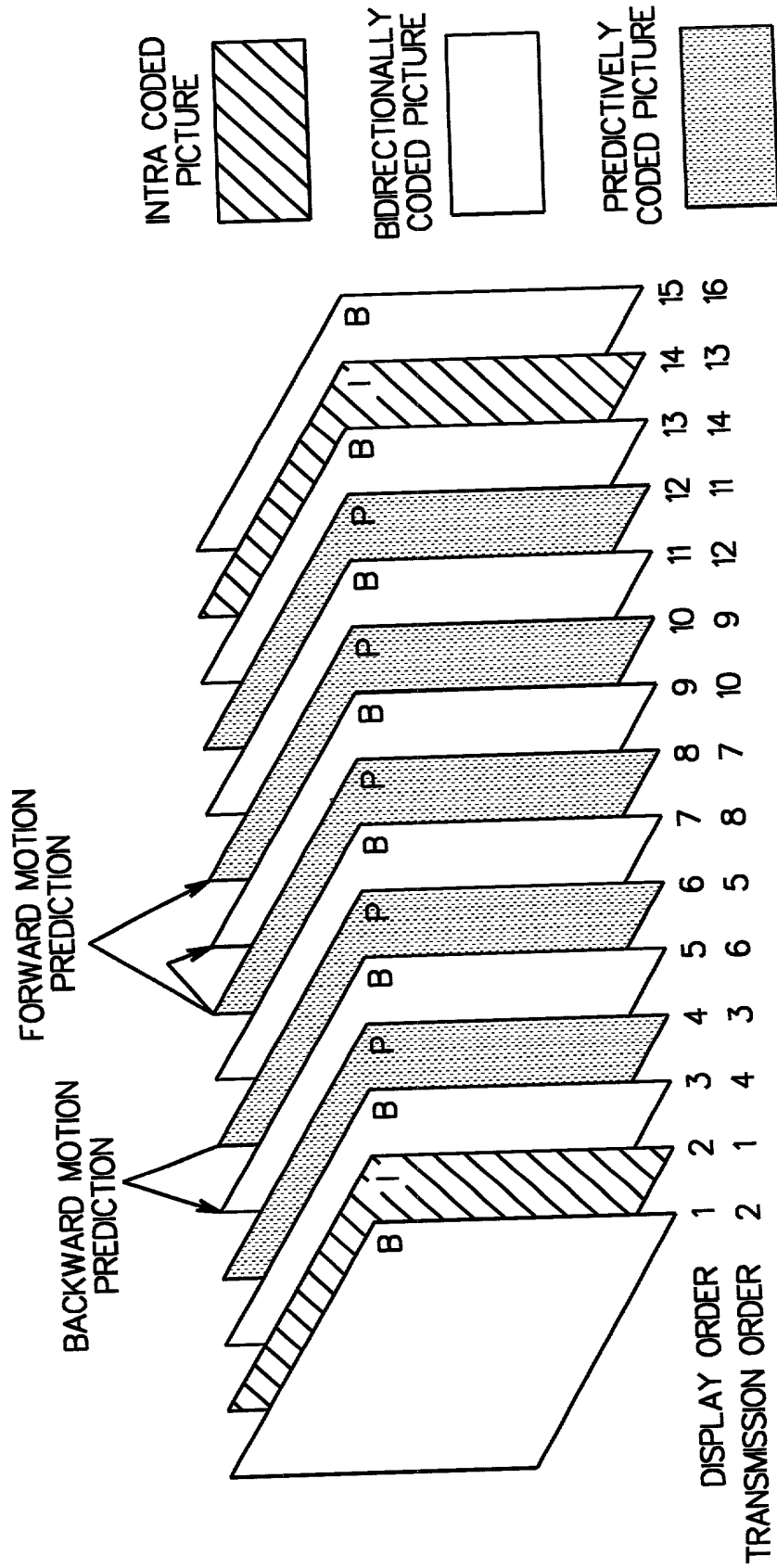


fig. 2

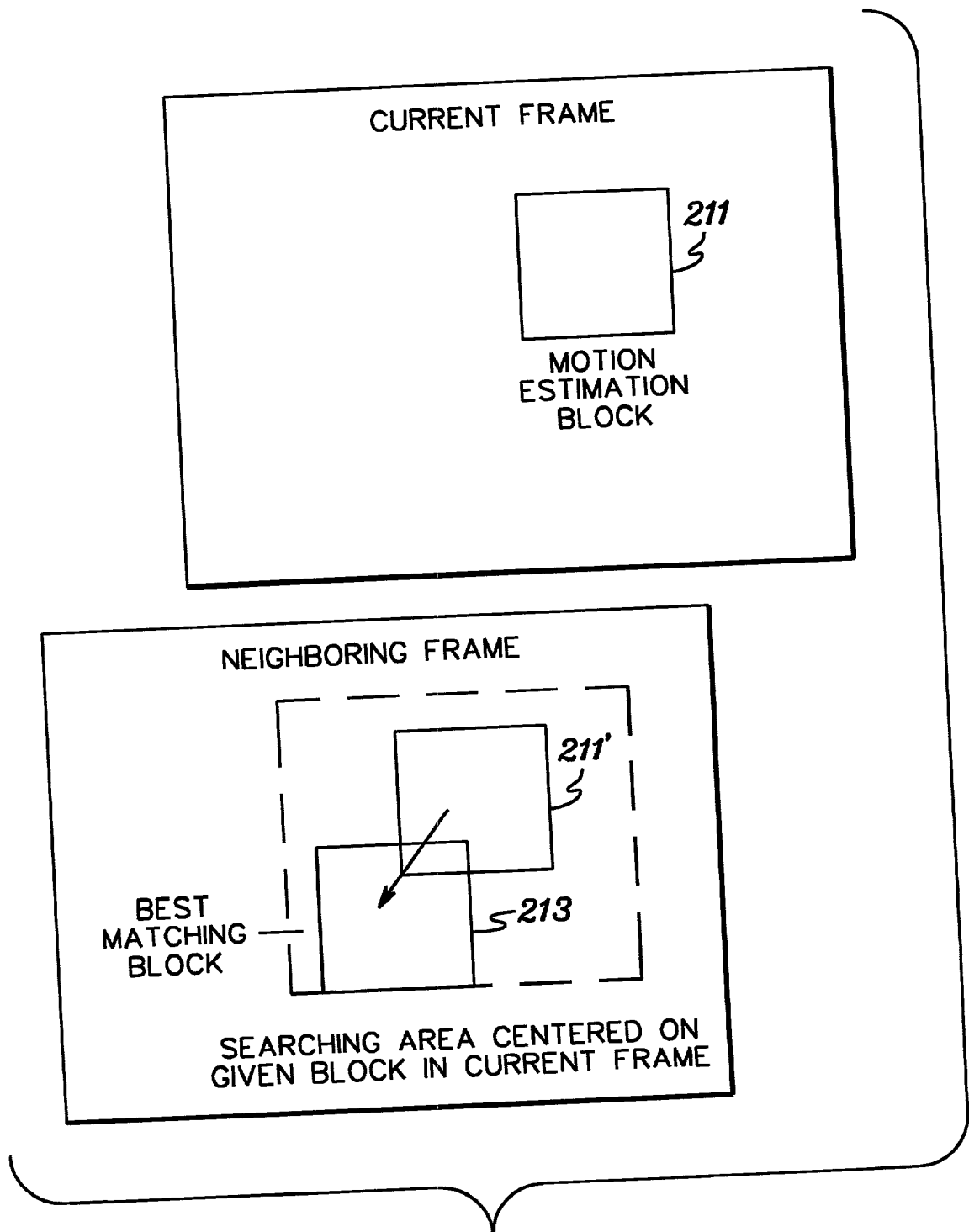
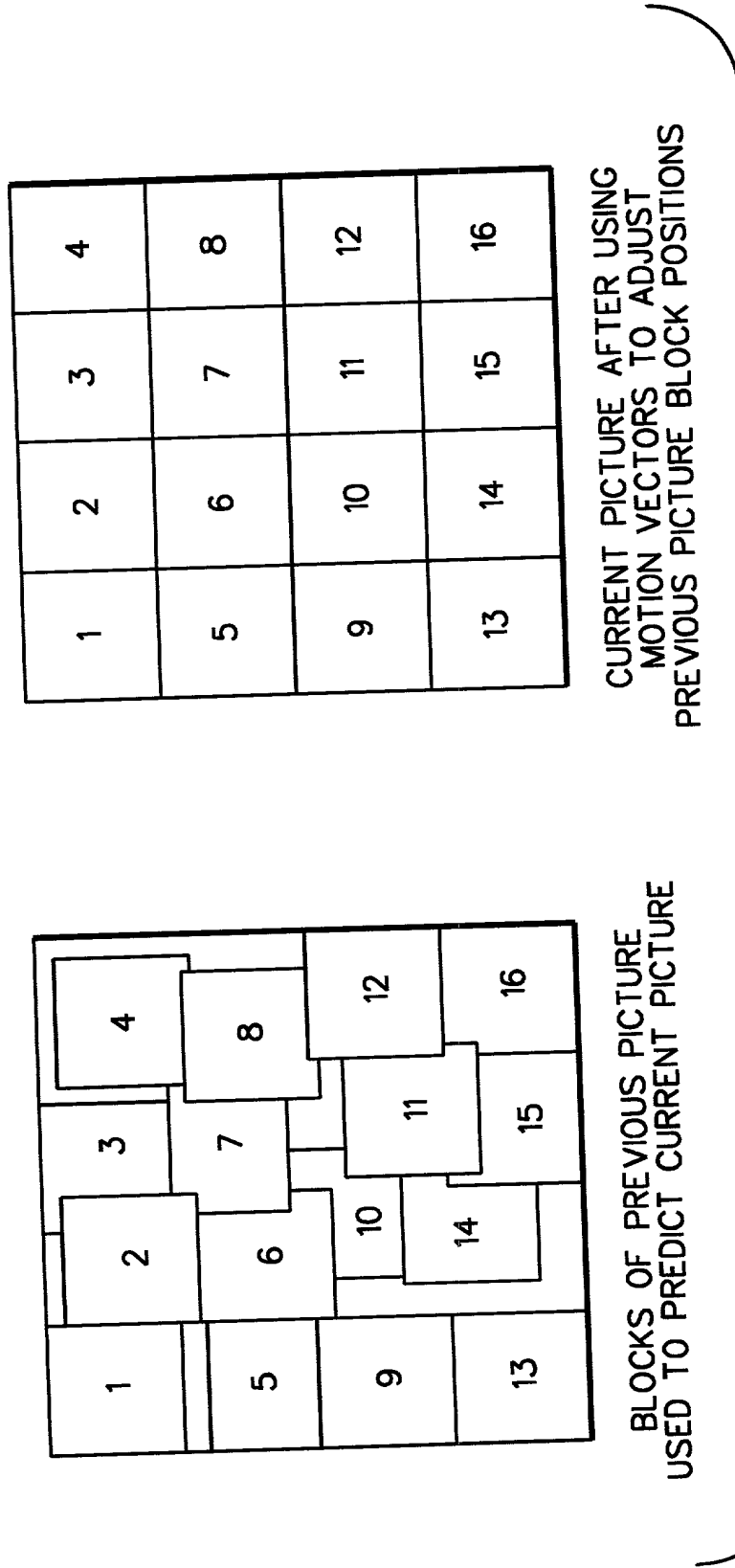


fig. 3



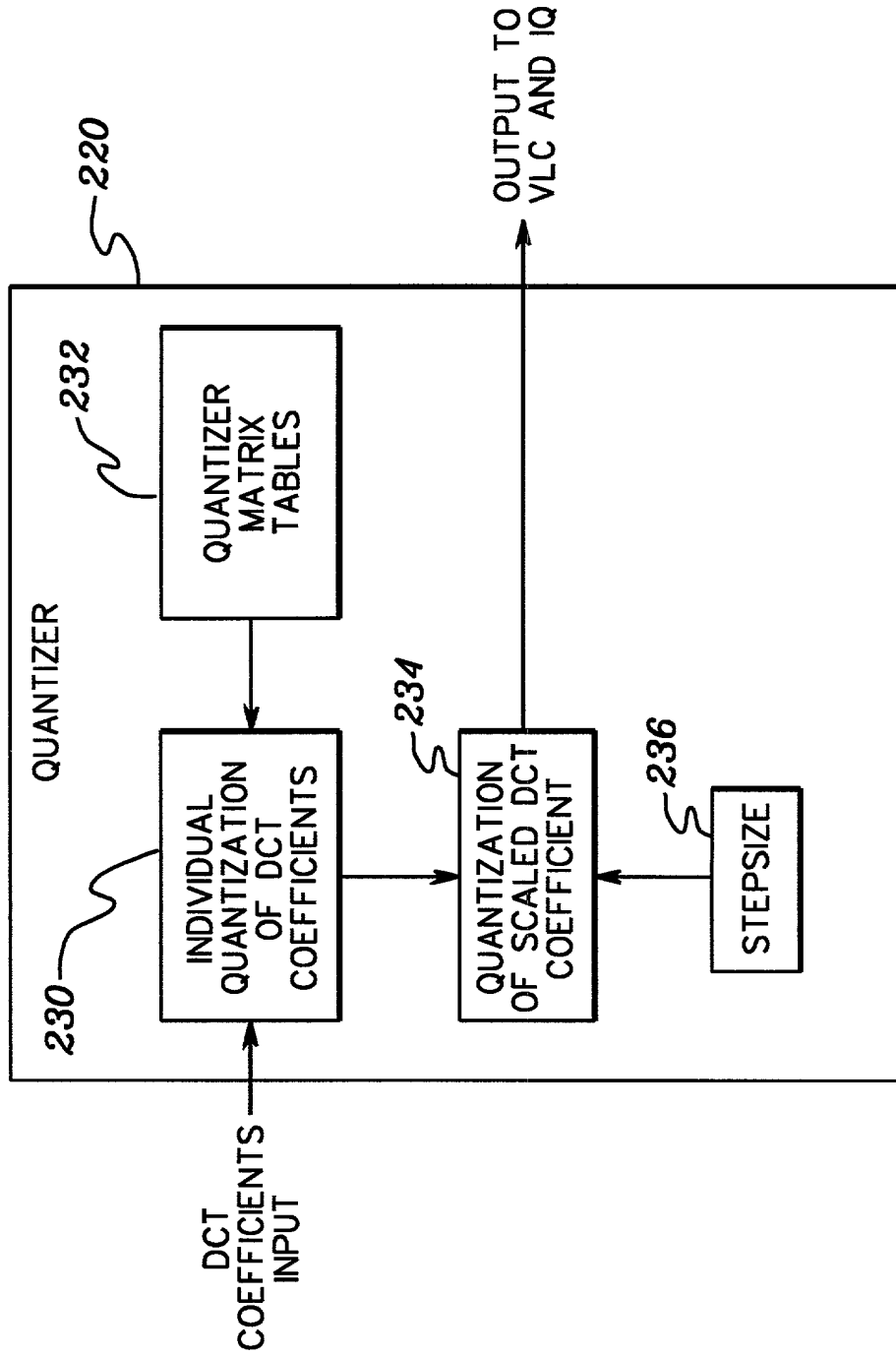


fig. 5

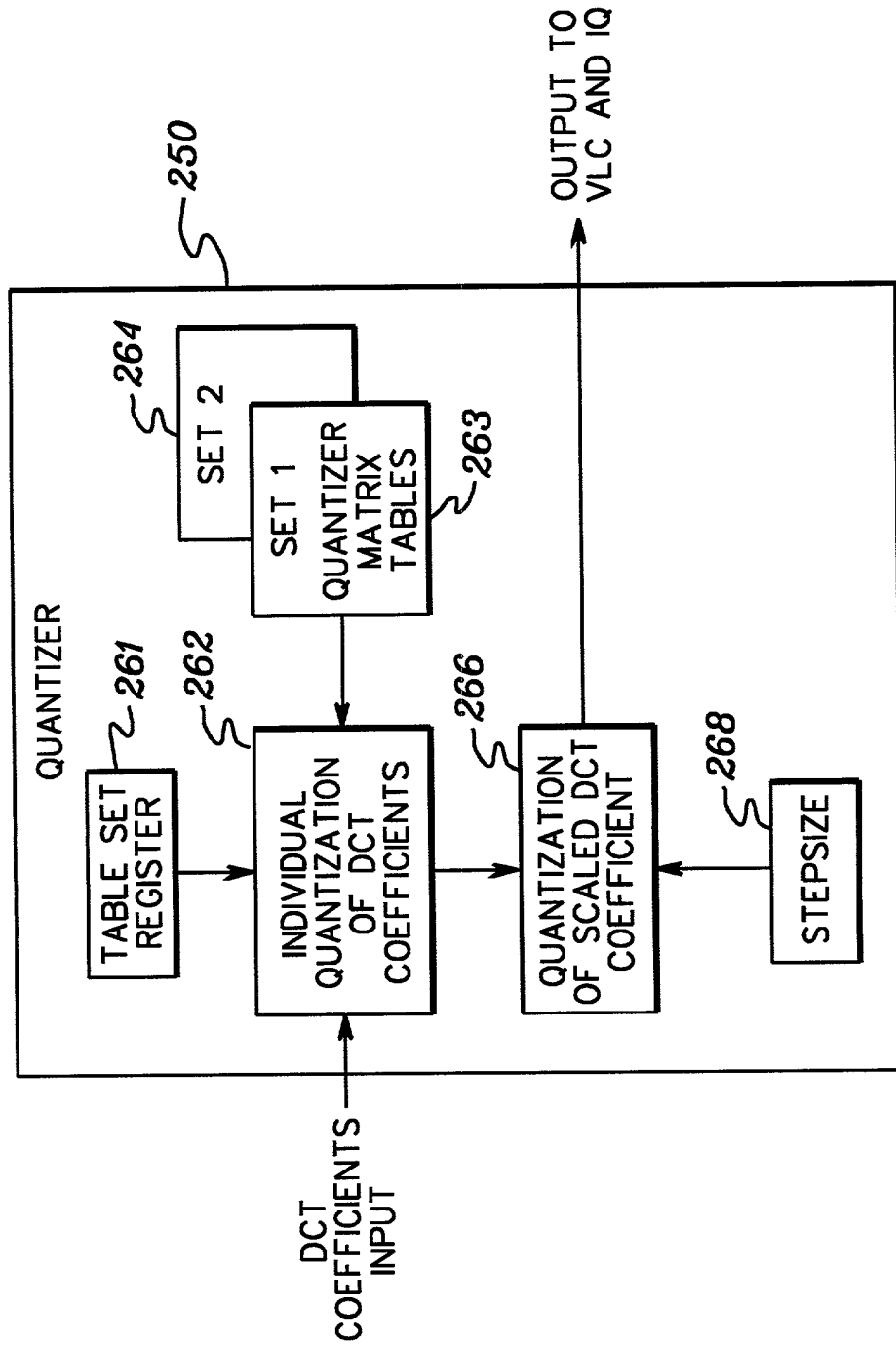


fig. 6

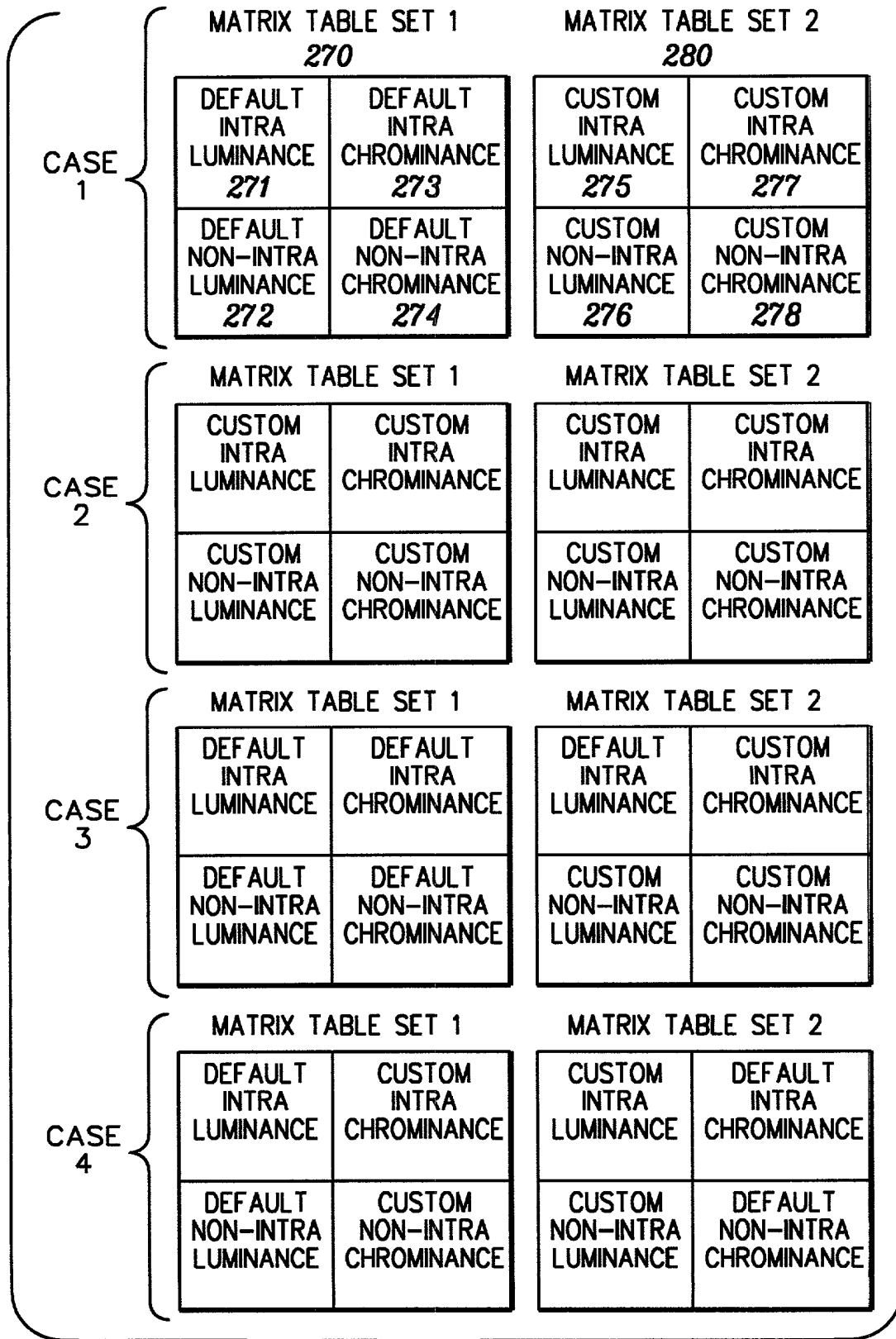


fig. 7

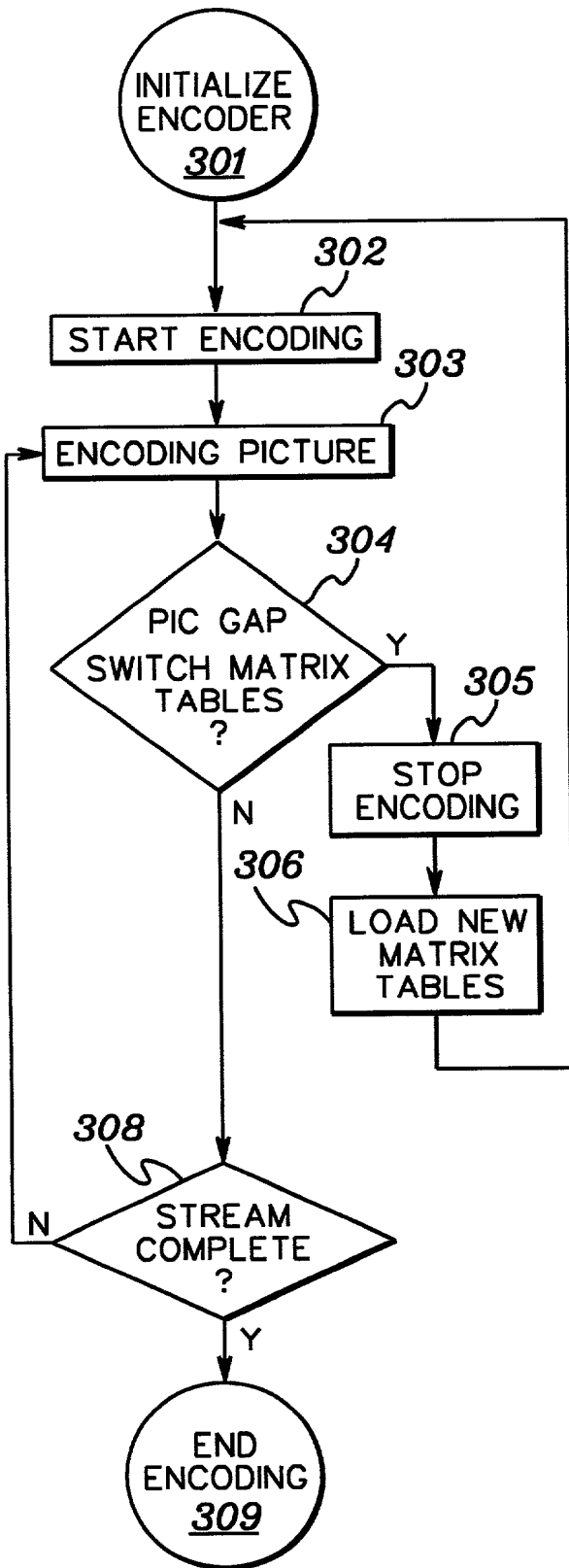


fig. 8a

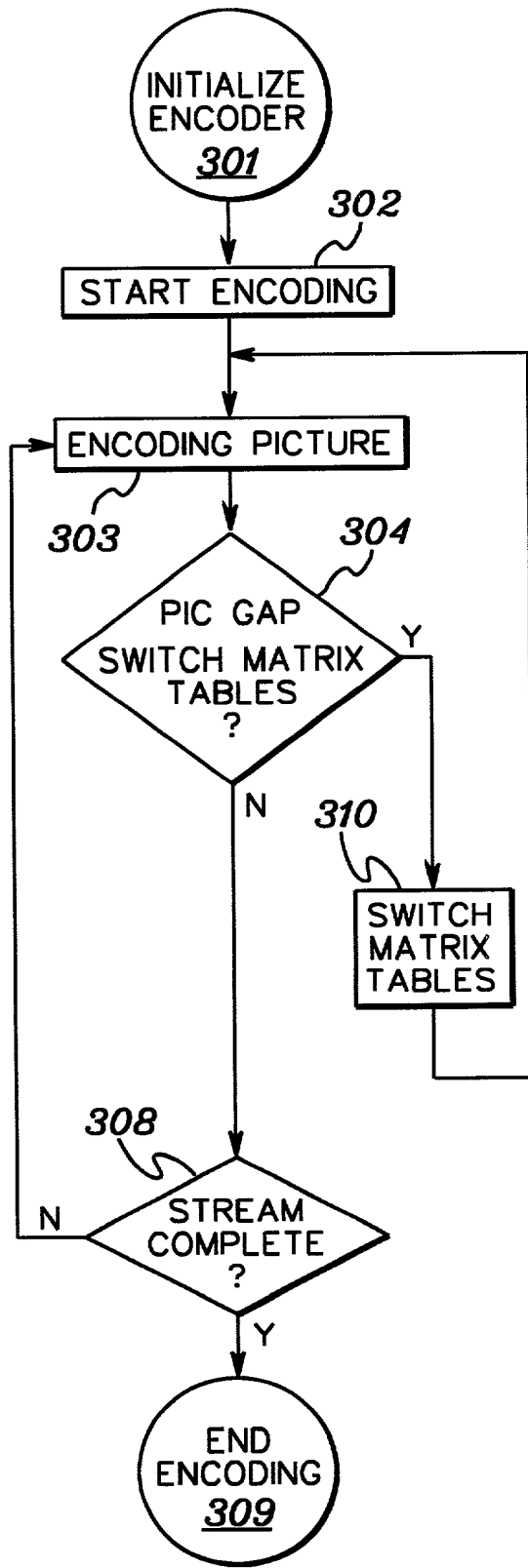


fig. 8b

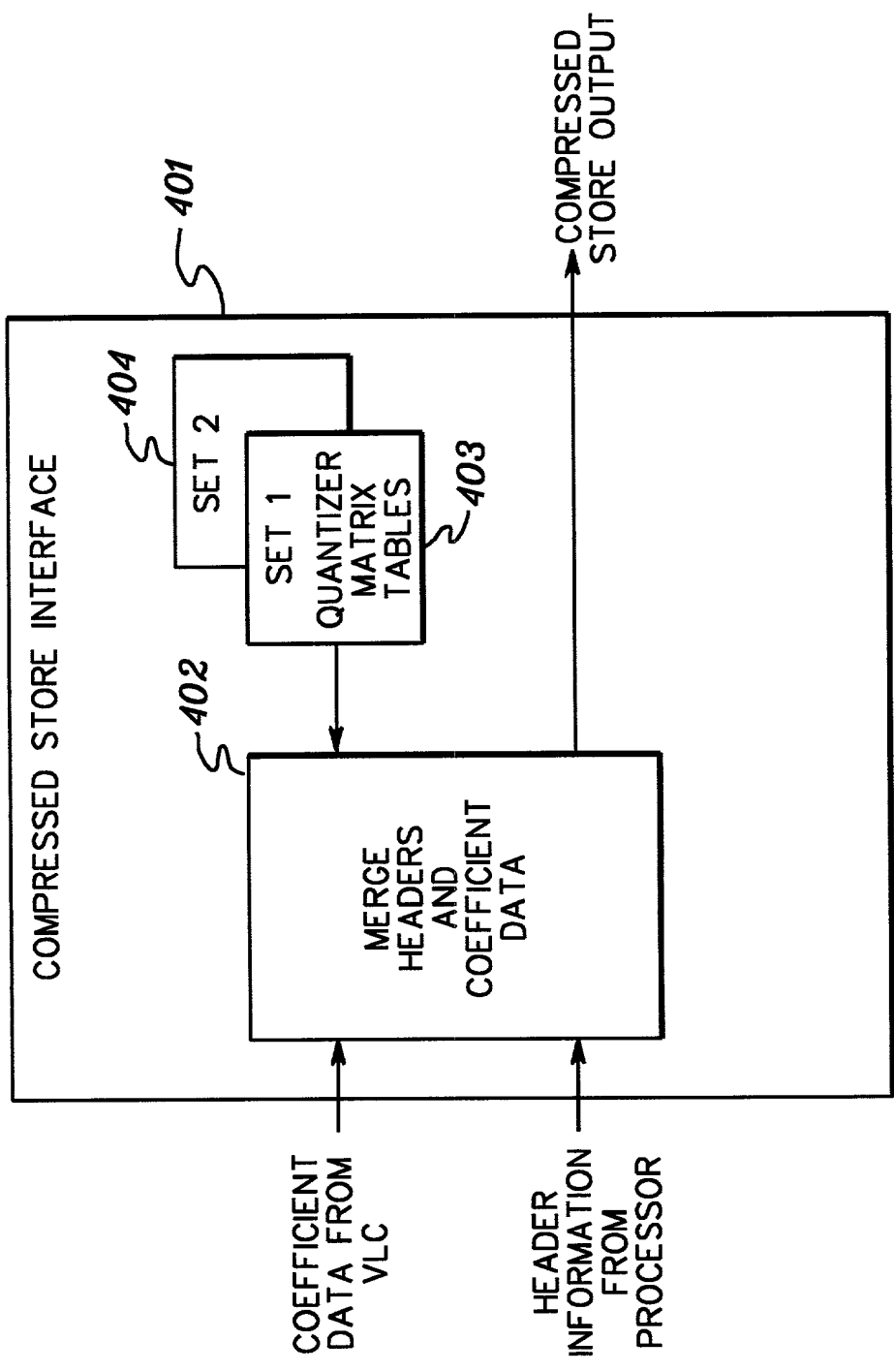


fig. 9

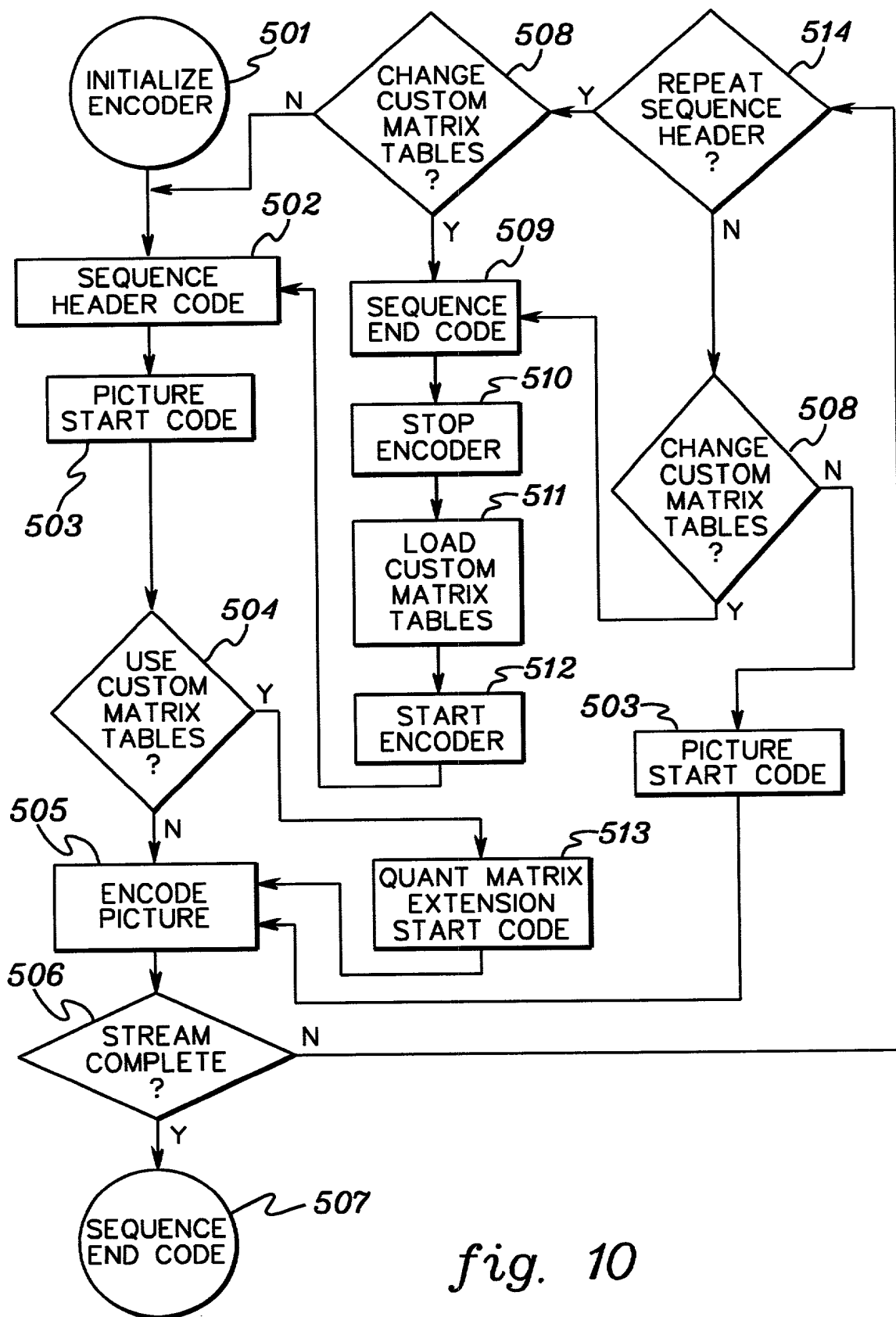


fig. 10

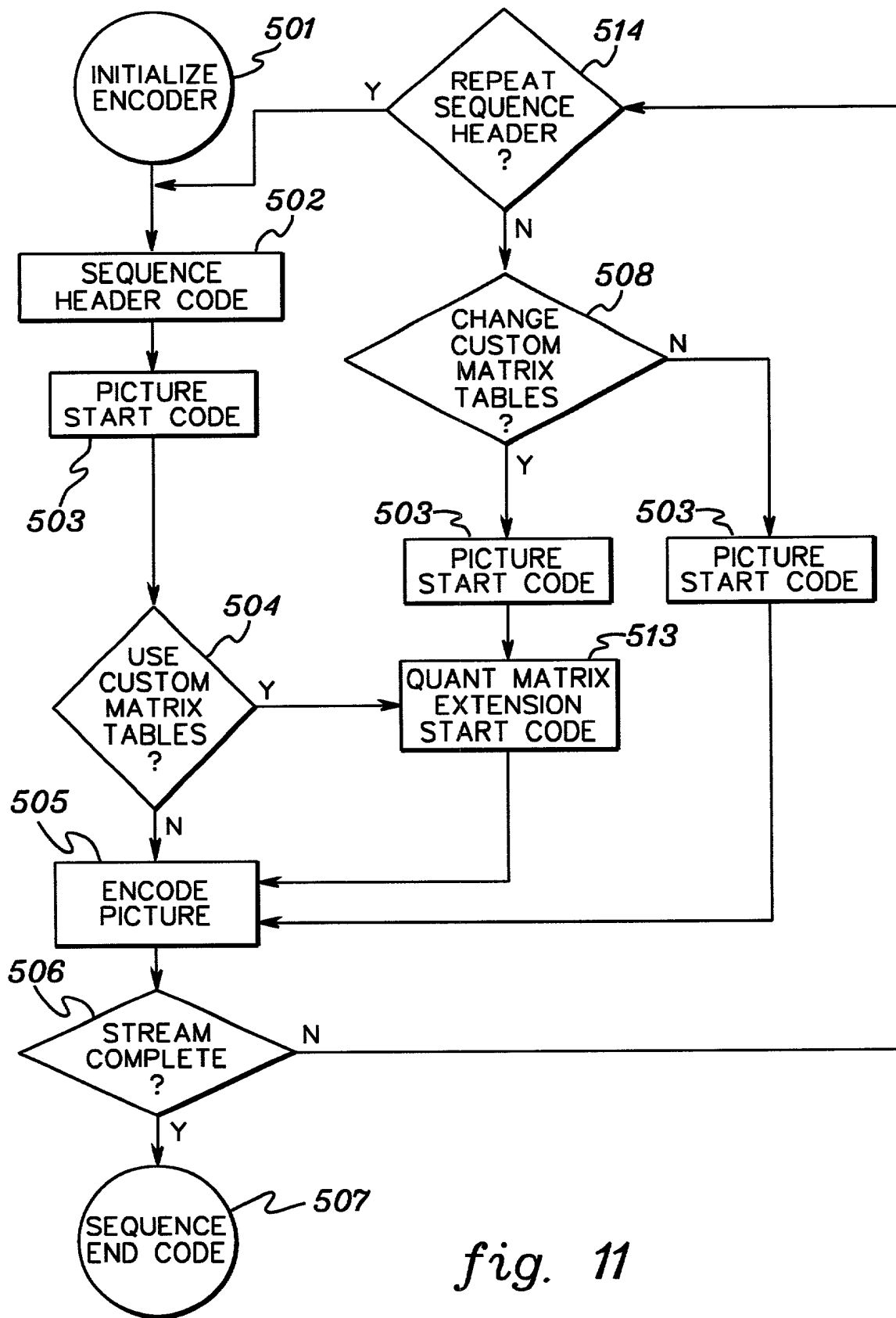


fig. 11

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DYNAMICALLY SWITCHING QUANT MATRIX TABLES WITHIN AN MPEG-2 ENCODER

the specification of which (check one)

 X is attached hereto.

 was filed on as United States Application Number or PCT International Application Number and was amended on .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Claimed

| | | | | |
|---|--|---|-------------------|------------------|
| <u> </u> (Number) | <u> </u> (Country) | <u> </u> (Day/Month/Year Filed) | <u> </u> Yes | <u> </u> No |
| <u> </u> (Number) | <u> </u> (Country) | <u> </u> (Day/Month/Year Filed) | <u> </u> Yes | <u> </u> No |

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

| (Appl. Serial No.) | (Filing Date) | (Status)(patented, pending, abandoned) |
|--------------------|---------------|--|
| (Appl. Serial No.) | (Filing Date) | (Status)(patented, pending, abandoned) |

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

ADDED PAGE(S) TO COMBINED DECLARATION AND POWER OF ATTORNEY
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